

PCT

REQUEST

The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty.

For receiving Office use only

PCT/CA 2004/000662

International Application No.

30 APRIL 2004 30.04.04

International Filing Date

RO/CA

Name of receiving Office and "PCT International Application"

Applicant's or agent's file reference
(if desired) (12 characters maximum) **08897800WO1**

Box No. I TITLE OF INVENTION

Pharmaceutical Compositions Comprising Cereal Beta Glucan

Box No. II APPLICANT

☐ This person is also inventor

Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)

**Ceapro Inc.
4046 EDC, University of Alberta
8303 - 114 Street
Edmonton, Alberta T6G 2E1
CANADA**

Telephone No.

780 - 421-4555

Facsimile No.

780 - 421-1320

Teleprinter No.

Applicant's registration No. with the Office

State (that is, country) of nationality:

CA

State (that is, country) of residence:

CA

This person is applicant
for the purposes of:

☐

all designated
States

☒

all designated States except
the United States of America

☐

the United States
of America only

☐

the States indicated in
the Supplemental Box

Box No. III FURTHER APPLICANT(S) AND/OR (FURTHER) INVENTOR(S)

Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)

**REDMOND, Mark J.
1160492 Avenue
Edmonton, Alberta T6C 1B3
CANADA**

This person is:

☐

applicant only

☒

applicant and inventor

☐

inventor only (If this check-box is
marked, do not fill in below.)

Applicant's registration No. with the Office

State (that is, country) of nationality:

CA

State (that is, country) of residence:

CA

This person is applicant
for the purposes of:

☐

all designated
States

☐

all designated States except
the United States of America

☒

the United States
of America only

☐

the States indicated in
the Supplemental Box

☒

Further applicants and/or (further) inventors are indicated on a continuation sheet.

Box No. IV AGENT OR COMMON REPRESENTATIVE; OR ADDRESS FOR CORRESPONDENCE

The person identified below is hereby/has been appointed to act on behalf of the applicant(s) before the competent International Authorities as:

☒

agent

☐

common
representative

Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)

**ERRATT, Judy A.; COLTON, Ian J.; SECHLEY, Konrad;
SCHROEDER, Hans; WADA, Ikuko; SMITH, Dallas
Gowling Lafleur Henderson LLP
160 Elgin Street, Suite 2600
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Canada K1P 1C3**

Telephone No.

(613) 233-1781

Facsimile No.

(613) 563-9869

Teleprinter No.

Agent's registration No. with the Office

☐

Address for correspondence: Mark this check-box where no agent or common representative is/has been appointed and the space above is used instead to indicate a special address to which correspondence should be sent.

Sheet No. ...2...

| Continuation of Box No. III FURTHER APPLICANT(S) AND/OR (FURTHER) INVENTOR(S) | |
|--|--|
| <i>If none of the following sub-boxes is used, this sheet should not be included in the request.</i> | |
| Name and address: <i>(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)</i> FIELDER, David A. 9314-86 Avenue Edmonton, Alberta T6C 1J6 CANADA | This person is: <input type="checkbox"/> applicant only <input checked="" type="checkbox"/> applicant and inventor <input type="checkbox"/> inventor only <i>(If this check-box is marked, do not fill in below.)</i> Applicant's registration No. with the Office |
| State <i>(that is, country)</i> of nationality: CA | State <i>(that is, country)</i> of residence: CA |
| This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input type="checkbox"/> all designated States except the United States of America <input checked="" type="checkbox"/> the United States of America only <input type="checkbox"/> the States indicated in the Supplemental Box | |
| Name and address: <i>(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)</i> | This person is: <input type="checkbox"/> applicant only <input type="checkbox"/> applicant and inventor <input type="checkbox"/> inventor only <i>(If this check-box is marked, do not fill in below.)</i> Applicant's registration No. with the Office |
| State <i>(that is, country)</i> of nationality: | State <i>(that is, country)</i> of residence: |
| This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input type="checkbox"/> all designated States except the United States of America <input type="checkbox"/> the United States of America only <input type="checkbox"/> the States indicated in the Supplemental Box | |
| Name and address: <i>(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)</i> | This person is: <input type="checkbox"/> applicant only <input type="checkbox"/> applicant and inventor <input type="checkbox"/> inventor only <i>(If this check-box is marked, do not fill in below.)</i> Applicant's registration No. with the Office |
| State <i>(that is, country)</i> of nationality: | State <i>(that is, country)</i> of residence: |
| This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input type="checkbox"/> all designated States except the United States of America <input type="checkbox"/> the United States of America only <input type="checkbox"/> the States indicated in the Supplemental Box | |
| Name and address: <i>(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)</i> | This person is: <input type="checkbox"/> applicant only <input type="checkbox"/> applicant and inventor <input type="checkbox"/> inventor only <i>(If this check-box is marked, do not fill in below.)</i> Applicant's registration No. with the Office |
| State <i>(that is, country)</i> of nationality: | State <i>(that is, country)</i> of residence: |
| This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input type="checkbox"/> all designated States except the United States of America <input type="checkbox"/> the United States of America only <input type="checkbox"/> the States indicated in the Supplemental Box | |
| <input type="checkbox"/> Further applicants and/or (further) inventors are indicated on another continuation sheet. | |

| Box No. V DESIGNATIONS | | | | | | | |
|--|--|--|---|--|-----------------------|--------|------------------------------|
| <p>The filing of this request constitutes under Rule 4.9(a), the designation of all Contracting States bound by the PCT on the international filing date, for the grant of every kind of protection available and, where applicable, for the grant of both regional and national patents.</p> <p>However,</p> <p><input type="checkbox"/> DE Germany is not designated for any kind of national protection</p> <p><input type="checkbox"/> KR Republic of Korea is not designated for any kind of national protection</p> <p><input type="checkbox"/> RU Russian Federation is not designated for any kind of national protection</p> <p><i>(The check-boxes above may be used to exclude (irrevocably) the designations concerned in order to avoid the ceasing of the effect, under the national law, of an earlier national application from which priority is claimed. See the Notes to Box No. V as to the consequences of such national law provisions in these and certain other States.)</i></p> | | | | | | | |
| Box No. VI PRIORITY CLAIM | | | | | | | |
| The priority of the following earlier application(s) is hereby claimed: | | | | | | | |
| Filing date of earlier application (day/month/year) | Number of earlier application | Where earlier application is: | | | | | |
| | | national application: country or Member of WTO | regional application:* regional Office | international application: receiving Office | | | |
| item (1) 2 May 2003 (02. 05. 03) | 60/467,146 | US | | | | | |
| item (2) 10 June 2003 (10. 06. 03) | 60/477,048 | US | | | | | |
| item (3) | | | | | | | |
| <input type="checkbox"/> Further priority claims are indicated in the Supplemental Box. | | | | | | | |
| <p>The receiving Office is requested to prepare and transmit to the International Bureau a certified copy of the earlier application(s) <i>(only if the earlier application was filed with the Office which for the purposes of this international application is the receiving Office)</i> identified above as:</p> <p> <input type="checkbox"/> all items <input type="checkbox"/> item (1) <input type="checkbox"/> item (2) <input type="checkbox"/> item (3) <input type="checkbox"/> other, see Supplemental Box </p> <p><i>* Where the earlier application is an ARIPO application, indicate at least one country party to the Paris Convention for the Protection of Industrial Property or one Member of the World Trade Organization for which that earlier application was filed (Rule 4.10(b)(ii)):</i></p> | | | | | | | |
| Box No. VII INTERNATIONAL SEARCHING AUTHORITY | | | | | | | |
| <p>Choice of International Searching Authority (ISA) <i>(if two or more International Searching Authorities are competent to carry out the international search, indicate the Authority chosen; the two-letter code may be used):</i></p> <p>ISA / EPO</p> <p>Request to use results of earlier search; reference to that search <i>(if an earlier search has been carried out by or requested from the International Searching Authority):</i></p> <table style="width: 100%; border: none;"> <tr> <td style="width: 40%;">Date (day/month/year)</td> <td style="width: 20%;">Number</td> <td style="width: 40%;">Country (or regional Office)</td> </tr> </table> | | | | | Date (day/month/year) | Number | Country (or regional Office) |
| Date (day/month/year) | Number | Country (or regional Office) | | | | | |
| Box No. VIII DECLARATIONS | | | | | | | |
| <p>The following declarations are contained in Boxes Nos. VIII (i) to (v) <i>(mark the applicable check-boxes below and indicate in the right column the number of each type of declaration):</i></p> | | | | Number of declarations | | | |
| <input type="checkbox"/> Box No. VIII (i) | Declaration as to the identity of the inventor | | | : | | | |
| <input type="checkbox"/> Box No. VIII (ii) | Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent | | | : | | | |
| <input type="checkbox"/> Box No. VIII (iii) | Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application | | | : | | | |
| <input type="checkbox"/> Box No. VIII (iv) | Declaration of inventorship (only for the purposes of the designation of the United States of America) | | | : | | | |
| <input type="checkbox"/> Box No. VIII (v) | Declaration as to non-prejudicial disclosures or exceptions to lack of novelty | | | : | | | |

Box No. IX CHECK LIST; LANGUAGE OF FILING

This international application contains:

(a) in paper form, the following number of sheets:

request (including declaration sheets) : 4
 description (excluding sequence listing and/or tables related thereto) : 28
 claims : 5
 abstract : 1
 drawings : 0

Sub-total number of sheets : 38

sequence listing :

tables related thereto :

(for both, actual number of sheets if filed in paper form, whether or not also filed in computer readable form; see (c) below)

Total number of sheets : 38

(b) ☐ only in computer readable form (Section 801(a)(i))(i) ☐ sequence listing(ii) ☐ tables related thereto(c) ☐ also in computer readable form (Section 801(a)(ii))(i) ☐ sequence listing(ii) ☐ tables related thereto

Type and number of carriers (diskette, CD-ROM, CD-R or other) on which are contained the

☐ sequence listing:☐ tables related thereto:

(additional copies to be indicated under items 9(ii) and/or 10(ii), in right column)

This international application is accompanied by the following item(s) (mark the applicable check-boxes below and indicate in right column the number of each item):

1. ☐ fee calculation sheet :
 2. ☐ original separate power of attorney :
 3. ☐ original general power of attorney :
 4. ☐ copy of general power of attorney; reference number, if any: :
 5. ☐ statement explaining lack of signature :
 6. ☐ priority document(s) identified in Box No. VI as item(s): :
 7. ☐ translation of international application into (language): :
 8. ☐ separate indications concerning deposited microorganism or other biological material :
 9. ☐ sequence listing in computer readable form (indicate type and number of carriers)
 (i) ☐ copy submitted for the purposes of international search under Rule 13ter only (and not as part of the international application) :
 (ii) ☐ (only where check-box (b)(i) or (c)(i) is marked in left column) additional copies including, where applicable, the copy for the purposes of international search under Rule 13ter :
 (iii) ☐ together with relevant statement as to the identity of the copy or copies with the sequence listing mentioned in left column :
 10. ☐ tables in computer readable form related to sequence listing (indicate type and number of carriers)
 (i) ☐ copy submitted for the purposes of international search under Section 802(b-quater) only (and not as part of the international application) :
 (ii) ☐ (only where check-box (b)(ii) or (c)(ii) is marked in left column) additional copies including, where applicable, the copy for the purposes of international search under Section 802(b-quater) :
 (iii) ☐ together with relevant statement as to the identity of the copy or copies with the tables mentioned in left column :
 11. ☐ other (specify): :

Number of items

Figure of the drawings which should accompany the abstract:

Language of filing of the international application:

ENGLISH

Box No. X SIGNATURE OF APPLICANT, AGENT OR COMMON REPRESENTATIVE

Next to each signature, indicate the name of the person signing and the capacity in which the person signs (if such capacity is not obvious from reading the request).



Judy A. Erratt Ph.D.

For receiving Office use only

1. Date of actual receipt of the purported international application:

30 APRIL 2004 30.04.04

3. Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application:

4. Date of timely receipt of the required corrections under PCT Article 11(2):

5. International Searching Authority (if two or more are competent):

ISA / EP

6. ☒ Transmittal of search copy delayed until search fee is paid

2. Drawings:

☐ received:☒ not received:

For International Bureau use only

Date of receipt of the record copy by the International Bureau: